

AUDIT AND GOVERNANCE COMMITTEE AGENDA
for the meeting on
Thursday 21st April 2022 at 9.30 a.m.
Grimsby Town Hall

1.	<p><u>Apologies for Absence</u></p> <p>To record any apologies for absence.</p>	-
2.	<p><u>Declarations of Interest</u></p> <p>To record any declarations of interest by any Member of the Cabinet in respect of items on this agenda.</p> <p>Members declaring interests must identify the agenda item and the type and detail of the interest declared.</p> <p><u>(A) Disclosable Pecuniary Interest</u>; or</p> <p><u>(B) Personal Interest</u>; or</p> <p><u>(C) Prejudicial Interest</u></p>	-
3.	<p><u>Minutes</u></p> <p>To receive the minutes of the meeting of Audit and Governance Committee held on 20th January 2022 (copy attached)</p>	7
4.	<p><u>Tracking of Recommendations</u></p> <p>To receive a report from the Executive Director for Environment, Economy and Resources on the tracking of recommendations of the Audit and Governance Committee (copy attached).</p>	13
5.	<p><u>Audit and Governance Committee Work Programme</u></p> <p>To receive a report from the Chair of the Audit and Governance Committee setting out the proposed work programme for this Committee during 2022/23 (copy to follow).</p>	
6.	<p><u>Annual Report of the Audit and Governance Committee 2020/21</u></p> <p>To receive a report from the Chair of the Audit and Governance Committee detailing its activities during the Council year and setting out how it has discharged its responsibilities (copy to follow).</p>	
7.	<p><u>Annual Fraud Report 2021/22</u></p> <p>To receive a report from the Executive Director of Environment, Economy and Resources, highlighting the work that has been undertaken for the prevention and detection of fraud, corruption and financial misconduct within North East Lincolnshire Council (copy attached).</p>	15

8.	<p><u>Information Governance and Security - Annual Report</u></p> <p>To receive a report outlining the key information governance activities undertaken by the Council in 2021 and provide assurance that the Council across all work areas and functions remains compliant with its legal obligations and follows good practice (copy attached).</p>	29
9.	<p><u>Internal Audit Plan 2022/23</u></p> <p>To consider a report from the Head of Audit and Assurance presenting the Internal Audit Plan for 2022/23 and highlighting the planned work programme for Internal Audit (copy attached).</p>	37
10.	<p><u>Value for Money – Annual Report 2021/22</u></p> <p>To receive a report from the Executive Director for Environment, Economy and Resources summarising activity during 2021/22 and identifies additional actions for 2022/23 and beyond (copy attached)</p>	63
11.	<p><u>Local Code of Corporate Governance and Annual Governance Statement update</u></p> <p>To receive a report from the Executive Director of Environment, Economy and Resources updating members on the updated Code of Governance and any issues raised as part of the Annual Governance Statement (copy attached)</p>	69
12.	<p><u>Register of Interests, gifts, and hospitality (Officer Interests)</u></p> <p>To receive a report from the Executive Director of Environment, Economy and Resources showing a strong and robust governance around issues such as Officers interests, gifts and hospitality (copy attached)</p>	93
13.	<p><u>CIPFA Financial Management Code of Practice</u></p> <p>To receive a report from the Executive Director of Environment, Economy and Resources setting out the standards of financial management for local government bodies (copy attached).</p>	101
14.	<p><u>Corporate governance arrangements for developing and delivery of the Capital Programme</u></p> <p>To receive a report from the Executive Director of Environment, Economy and Resources detailing the governance processes in place for the management and oversight of the Capital Programme (copy attached)</p>	111
15.	<p><u>Partnership Protocol – 2022 Review</u></p> <p>To receive a report from the Executive Director for Environment, Economy and Resources providing guidance on how the Council’s partnership governance arrangements should be designed in order to support the achievement of those outcomes (copy attached)</p>	115
16.	<p><u>External Audit Progress Report</u></p>	

	To receive a report from the Council's External Auditor updating on the progress in delivering their responsibilities as the Council's external auditor (copy attached).	139
17.	<u>Urgent Business</u> To receive any business which, in the opinion of the Chairman, is urgent by reason of special circumstances which must be stated and minuted.	-
18.	<u>Exclusion of the Press and Public</u> To consider requesting the press and public to leave on the grounds that discussion of the following business is likely to disclose exempt information within the relevant paragraphs of Schedule 12A of the Local Government Act 1972 (as amended).	-
19.	<u>Strategic Risk Register Update</u> To receive a report from the Executive Director for Environment, Economy and Resources providing a summary of the developments relating to risk management and the strategic risk register (copy attached).	151
20.	<u>Issues for Discussion with the Internal and External Auditors</u> To provide an opportunity, at the close of this meeting, to discuss any confidential matters of concern with the External Auditor and the Head of Internal Audit.	-

ROB WALSH

CHIEF EXECUTIVE