

Health and Adult Social Care Scrutiny Panel

DATE	20th March 2024
REPORT OF	Katie Brown Director of Adult Social Care
SUBJECT	CQC regulation and adult social care performance
STATUS	Open

CONTRIBUTION TO OUR AIMS

North East Lincolnshire Councils strategic aim is that all adults will have healthy and independent lives with easy access to joined up advice and support, helping them to help themselves. A new regulatory framework for local authorities who provide adult social care has been implemented and the effectiveness of our delivery will be reviewed by the Care Quality Commission.

EXECUTIVE SUMMARY

The Health and Care Act 2022 gives CQC new regulatory powers that enables a meaningful and independent assessment of care at a local authority level.

CQC assessment will focus on how local authorities discharge their duties under Part 1 of The Care Act (2014).

Part of the review process will involve looking at scrutiny's role in governance, oversight of adult social care and this report forms part of a regular update to scrutiny on North East Lincolnshire's inspection readiness.

MATTERS FOR CONSIDERATION

The panel is asked to receive feedback from the adult social care peer review that took place in January 2024

The panel is asked to note the update on North East Lincolnshire's preparation for CQC regulation.

The panel is asked to note the Adult Social Care Outcomes Framework (ASCOF) data for 2022/23.

1. BACKGROUND AND ISSUES

1.1 All local authorities that provide adult social care will receive an inspection against the new CQC regulatory framework before March 2025

1.2 CQC's aim is to understand how the care provided in a local area is improving outcomes for people and reducing inequalities in their access to care, their experiences and outcomes from care. How services are working together within an integrated system, as well as how systems are performing overall

1.3 A new single assessment framework. CQC will use this to assess all types of services in all health and care sectors at all levels.

1.4 The framework consists of 4 key themed areas:-

- 1.4.1 How local authorities work with people
- 1.4.2 How local authorities provide support
- 1.4.3 How local authorities ensure safety within the system
- 1.4.4 Leadership

1.5 In addition to the four themes, the assessment framework is based on a set of quality statements, arranged under topic areas and describe what good care looks like known as “I” and “We” statements

1.6 The evidence that will be collated will consist of the following. People’s experience, feedback from staff and leaders, feedback from partners, observation and a review of outcomes and processes.

1.7 North East Lincolnshire have been preparing for CQC regulation by developing a self assessment for adult social care as well as preparing the evidence that is required as part of the framework. This includes 50 case studies of people that draw on care and support.

1.8 There is a preparation group that spans all partners across the Health and Care Partnership and is led by the Assistant Director for Adult Social Care.

1.9 There is also an assurance oversight group that consists of the The Leader of the Council, The Deputy Leader and Deputy Leader and Portfolio Holder for Health, Wellbeing and Adult Social Care and the Chief Executive of NELC. Updates are also provided to the Leadership Team and Health and Care Scrutiny.

1.10 In January 2024 as part of our preparation we invited Yorkshire and Humber ADASS to undertake a peer review that used the CQC framework as the basis of assessing areas of strength and development. This has supported both the development of our self-assessment and the basis of actions relating to the areas that we need to continue to develop.

1.11 Client led data (CLD) is now being submitted quarterly to DHSC and CQC will be using this as base line evidence, alongside the Adult Social Care Outcomes Framework (ASCOF).

2. RISKS AND OPPORTUNITIES

2.1 The configuration of the delivery of adult social care in North East Lincolnshire is unique within England and therefore we need to ensure that we are consistent in the delivery of Adult Social Care across the Health and Care Partnership.

3. REPUTATION AND COMMUNICATIONS CONSIDERATIONS

3.1 CQC will give the council a rating following their review of adult social care services in North East Lincolnshire. An inadequate rating would potentially cause significant reputational damage as well as potential intervention from DHSC.

4. FINANCIAL CONSIDERATIONS

There are some notional costs associated with preparation and the management of CQC regulation. NELC has received 27k from DHSC to support with this.

5. CHILDREN AND YOUNG PEOPLE IMPLICATIONS

CQC will review the effectiveness of how we support children that are preparing for adulthood and in transition between children's' and adult services.

6. CLIMATE CHANGE AND ENVIRONMENTAL IMPLICATIONS

In the opinion of the author, this report does not contain recommended changes to policy or resources (people, finance or physical assets). As a result no monitoring comments have been sought from the Council's Monitoring Officer (Chief Legal Officer), Section 151 Officer (Director of Finance) or Strategic Workforce Lead

7. FINANCIAL IMPLICATIONS

In the opinion of the author, this report does not contain recommended changes to policy or resources (people, finance or physical assets). As a result no monitoring comments have been sought from the Council's Monitoring Officer (Chief Legal Officer), Section 151 Officer (Director of Finance) or Strategic Workforce Lead

8. LEGAL IMPLICATIONS

In the opinion of the author, this report does not contain recommended changes to policy or resources (people, finance or physical assets). As a result no monitoring comments have been sought from the Council's Monitoring Officer (Chief Legal Officer), Section 151 Officer (Director of Finance) or Strategic Workforce Lead

9. HUMAN RESOURCES IMPLICATIONS

In the opinion of the author, this report does not contain recommended changes to policy or resources (people, finance or physical assets). As a result no monitoring comments have been sought from the Council's Monitoring Officer (Chief Legal Officer), Section 151 Officer (Director of Finance) or Strategic Workforce Lead

10. WARD IMPLICATIONS

Not applicable

11. BACKGROUND PAPERS

CQC preparedness presentation – March 2024.

12. CONTACT OFFICER(S)

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